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PATENT *HS*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Alexander Streltsov, Nicholas F. Borrelli, Charlene M. Smith, and Joseph F. Schroeder III

APPLN. NO: 09/954,500 CONFIRMATION NO: 8916

FILED: 17 September 2001 ART UNIT: 1752

TITLE: DIRECT WRITING OF OPTICAL DEVICES IN SILICA-BASED GLASS USING FEMTOSECOND PULSE LASERS

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 1.97(b)(3)**

Assistant Commissioner for Patents
Washington, DC 20231

Dear Sir:

This Statement is being filed before the mailing date of a first Office Action on the merits.

The enclosed additional prior art references, listed on Form PTO-SB9/08A, were identified by a foreign counsel as being of possible relevance to this application.

For any questions regarding this document and its enclosures, the Examiner is invited to call applicants' representative at the number listed below.

Respectfully submitted,
EUGENE STEPHENS & ASSOCIATES

Thomas B. Ryan
Thomas B. Ryan, Reg. No. 31,659
Agent for Applicant(s)
56 Windsor Street
Rochester, New York 14605
Phone: (585) 232-7700
Facsimile: (585) 232-7188
TBR:skd
Enclosures
Dated: **FEB 27 2002**

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited on the date shown below with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C., 20231.

Date of Deposit: **FEB 27 2002**

Signature: *Eugene Stephens*

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

| | |
|------------------------|--|
| Application Number | 09/954,500 |
| Filing Date | 17 September 2001 |
| First Named Inventor | Alexander Streitsov |
| Group Art Unit | 1752 |
| Examiner Name | Unknown |
| Attorney Docket Number | <i>COPY OF PAPERS ORIGINALLY FILED</i> |

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MAR 12 2001

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of reference cited if not in conformance.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, indication of the year of the reign of the Emperor must precede the serial number of the document. ⁵ Kind of document by the appropriate symbols as indicated in the WIPO Standard ST.16, if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

2 of 2

Complete if Known

| | |
|------------------------|---------------------|
| Application Number | 09/954,500 |
| Filing Date | 17 September 2001 |
| First Named Inventor | Alexander Streltsov |
| Group Art Unit | 1752 |
| Examiner Name | Unknown |
| Attorney Docket Number | |

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

| | | | |
|-----------------------|--|--------------------|--|
| Examiner Signature | | Date Considered | |
|-----------------------|--|--------------------|--|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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